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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| JC; | TRA | | are required | Application Number 09/824,305 | | | | | |
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| 0,30 | | _ | | Filing Date | Date April 2, 2001 | | pril 2, 2001 | | |
| 0 | (to be seed for a | initial filin | ng) | First Named Inventor Mahajan | | lahajan | | | |
| ATENT & TREE | | | | | Group Art Unit | | | 163 | |
| PAIEN | | | | | Examiner Name | Not yet assigned | | ot yet assigned | |
| Total Number of Pages in This Submission | | | | | Attorney Docket Nu | umbe | r G | 08:055 | |
| | ENCLOSURES (check all that apply) | | | | | | | | |
| | Fee Transmit | tal Form | | Assigni (for an | ment Papers Application) | | | After Allowance Communication to Group | |
| | Fee At | tached | | Drawing | g(s) | | | Appeal Communication to Board of Appeals and Interferences | |
| | Amendment / | Reply | | Licensi | ng-related Papers | | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | |
| | After F | inal | | Petition | | | | Proprietary Information | |
| | Affida | vits/declaration(s) | | | to Convert to a | | | Status Letter | |
| | Extension of Time Request | | Provisional Application Power of Attorney, Revocation Change of Correspondence Address | | n | × | Other Enclosure(s) (please identify below): PTO-1449 Form, 2 cited references and Acknowledgement Postcard. | | |
| | Express Abar | ndonment Request | Terminal Disclaimer | | | | 7,04,000,000,000,000 | | |
| × | Supplemental Information Disclosure Statement | | Request for Refund | | | | RECEIVED | | |
| | Certified Copy Document(s) | y of Priority | CD, Number of 0 | | mber of CD(s) | · | | APR 0 7 2003 | |
| | Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | | Rema | irks | | | | GROUP 3600 | |
| - | SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | | |
| Firm or | | Kurt M. Maschoff | | | | | | | |
| Individual name | | | | | | | | | |
| Signature STY / /// | | | | | | | | | |
| Date March 252003 | | | | | | | | | |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: March 25, 2003 | | | | | | | | | |
| Туре | or printed name | e Edith Martin | 1 | | | | | | |
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Attorney Docket No.: G08.055

Patent



N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: MAHAJAN et al.

Serial No.: 09/824,305

Filing Date: April 2, 2001

For: APPARATUS, METHODS AND

ARTICLES OF MANUFACTURE FOR COMPUTERIZED TRANSACTION EXECUTION AND PROCESSING

Examiner: Not yet assigned

Group Art Unit: 2163

Attorney Docket No.: G08.055

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GROUP 3600

Commissioner for Patents Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with the above-identified application.

Respectfully submitted,

March 25, 2003

Date

Kurt M. Maschoff

Registration No. 38,235

Buckley, Maschoff, Talwalkar, & Allison LLC

5 Elm Street

New Canaan, CT 06840

(203) 972-0081

Attorney Docket No.: G08.055

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(use as many sheets as necessary)

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| Application Number | 09/824,305 | | | | |
| Filing Date | April 2, 2001 | | | | |
| First Named Inventor | MAHAJAN | | | | |
| Group Art Unit | 2163 | | | | |
| Examiner Name | Not yet assigned | | | | |
| Attorney Docket Number | G08.055 | | | | |

| | U.S. PATENT DOCUMENTS | | | | | | | |
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| Examiner Initials* | Cite No.1 | U.S. Patent Document Kind Code ² Number (<i>if known</i>) | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
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| Examiner | | Date | 08/30/2010 |
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| Signature | I /Richard Weisherger/ | Considered | 00/30/2010 |
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.